

Part II Organizational Action (continued)

17 List the applicable Internal Revenue Code section(s) and subsection(s) upon which the tax treatment is based ▶ IRC SECTIONS 301 AND 316.

18 Can any resulting loss be recognized? ▶ A LOSS WOULD NOT BE RECOGNIZED AS PART OF THE DISTRIBUTIONS. THE BASIS OF EACH SHAREHOLDER OF RECORD WOULD NEED TO BE ADJUSTED BY THE AMOUNT OF THE DISTRIBUTION THAT WOULD AFFECT REALIZED GAIN OR LOSS RECOGNIZED ON THE DISPOSITION OF FUND SHARES.

19 Provide any other information necessary to implement the adjustment, such as the reportable tax year ▶ THE AMOUNTS AS REPORTED ABOVE ARE REPORTABLE FOR THE 2018 TAX YEAR.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here
Signature ▶ _____ Date ▶ _____
Print your name ▶ _____ Title ▶ _____

Paid Preparer Use Only	Print/Type preparer's name Elisabeth Martinetz	Preparer's signature Signature on File	Date	Check <input type="checkbox"/> if self-employed	PTIN On File
	Firm's name ▶ Tait, Weller & Baker LLP	Firm's EIN ▶ On File		Phone no. On File	
	Firm's address ▶ 50 South 16th Street, Suite 2900 Philadelphia, PA 19102				

ISSUER'S NAME	RECORD DATE	EX-DATE	PAYABLE DATE	TOTAL DISTRIBUTION PER SHARE	ORDINARY DISTRIBUTION PER SHARE	LONG-TERM CAPITAL GAIN	NON-DIVIDEND DISTRIBUTION (RETURN OF CAPITAL)
DIVIDEND AND INCOME FUND	3/15/2018	3/14/2018	3/29/2018	\$ 0.150000000	\$ 0.023850000	\$ 0.099711000	\$ 0.026439000
BOX 10 CUSIP NUMBER: 25538A204	6/15/2018	6/14/2018	6/29/2018	\$ 0.150000000	\$ 0.023850000	\$ 0.099711000	\$ 0.026439000
BOX 12 TICKER SYMBOL: XDNIX	9/18/2018	9/17/2018	9/28/2018	\$ 0.200000000	\$ 0.031800000	\$ 0.132948000	\$ 0.035252000
	12/17/2018	12/14/2018	12/28/2018	\$ 0.200000000	\$ 0.031800000	\$ 0.132948000	\$ 0.035252000